FORM PTO 1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER PU60756	:					
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371						
INTERNATIONAL APPLICATION NO. PCT/US2005/005345 INTERNATIONAL FILING DATE 20 February 2004						
TITLE OF INVENTION Novel Compounds						
APPLICANT(S) FOR DO/EO/US Dennis LEE, Krista B. GOODMAN						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
<ol> <li>[x] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>[] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>[x] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>[x] The US has been elected (Article 31).</li> </ol>						
<ul> <li>5. [x] A copy of the International Application as filed (35 U.S.C. 371(c)(2))</li> <li>a. [] is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. [] has been transmitted by the International Bureau.</li> <li>c. [x] is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>						
6. [] A translation of the International Application into English (35U.S.C. 371(c)(2)).						
<ul> <li>7. [x] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li>a. [] are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. [x] have been transmitted by the International Bureau.</li> <li>c. [] have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. [] have not been made and will not be made.</li> </ul>						
8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S. C. 371(c)(3)).						
<ul><li>9. [x] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>a. [x] was submitted as part of the international application under Rule 4.17(iv).</li></ul>						
10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11. to 16. below concern other document(s) or information included:						
11. [x] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; and Form PTO 1449.						
12. [x] A copy of the assignment document ( 2 pages); and a 1 page Statement Under 37 CRF 3.73(b).						
<ul> <li>13. [X] A FIRST preliminary amendment. (Add claim to priority via Preliminary Amendment for US originating cases only)</li> <li>14. [] A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. [] A substitute specification.</li> </ul>						
16. [x] Power of Attorney and Correspondence Address Indication Form (1 page)						
7. [x] An Abstract on a separate sheet of paper (1 page).						
<ul><li>8. [x] Copy of Form PCT/ISA/210 ( 3 pages).</li><li>9. [] Other items or information.</li></ul>						

## IAP9 Rec'd PCT/PTO 18 AUG 2006

	NO. (if known see 37 CFR 1.5	0) INTERNATIONA PCT/2005/0	AL APPLICATION NO.	ATTORNEYS DOCKET NO. PU60756	
20. [X] The fo	ollowing fees are submitt	ed:		CALCULATION	PTO USE ONLY
Basic National Fee (37 C.F.R. 1.492(a)(1)-(5)):					
Basic Filing Fee\$300.00				\$300.00	
	ination Fee				
*If Internatio	nal Preliminary Examinatio	n Report prepared by	USPTO and all claims		
			\$0.00	\$200.00	
*All other situations\$200.00					
<b>▼</b> Searcl	n Fee				
	(37 CFR 1.445(a)(2) has be				
			\$100.00	\$100.00	
			ice\$400.00		
*All other sit			\$500.00		
	Te	OTAL OF ABOV	E CALCULATIONS =	\$600.00	
	30.00 for furnishing the o			\$0.00	
months from the earliest claimed priority date (37 CFR 1.492(e)).					
Claims	Number Filed 1	Number Extra	Rate		
Total claims	7 - 20 =	0	0 x \$50.00	<b>\$0.00</b> .	
Independent	1-3=	0	0 x \$200.00	\$0.00	
claims					
Multiple dependent claims (if applicable) + \$360.00			\$0.00		
TOTAL OF ABOVE CALCULATIONS =			\$0.00		
National Stage Application size fee-for each additional 50 sheets (including drawings)			\$0.00		
that exceed 100 sheets. The fee is \$250.00 for each additional 50 sheets or fraction					
thereof.		<u> </u>			
SUBTOTAL =			\$600.00		
Processing fee of \$130.00 for furnishing the English translation later than					
20 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +					
TOTAL NATIONAL FEE =			\$600.00		
				Amount to be	\$
				refunded	
				charged	\$

a [	A check in the amount of\$	to cover the above fees is enclosed
4. I	I A CHECK III the amount of $\phi$	_ to cover the above rees is enclosed

- b. Please charge my Deposit Account No. 19-2570 in the amount of \$600.00 to cover the above fees.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**GLAXOSMITHKLINE** 

Corporate Intellectual Property - UW2220

P.O. Box 1539

King of Prussia, PA 19406-0939

Phone (610) 270-7481

Facsimile (610) 270-5090

SIGNATURE

Reid S. Willis

NAME

39,429

REGISTRATION NO.

## IAP15 Rec'd PCT/PTO 18 AUG 2006 10/589995

PATENT ATTORNEY'S DOCKET NUMBER PU60756

## TRANSMITTAL LETTER TO THE U.S. DESIGNATED OFFICE (DO/US) - ENTRY INTO NATIONAL STAGE UNDER 35 USC 371

INTERNATIONAL APP. NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2005/005345 18 February 2005 20 February 2004

TITLE OF INVENTION Novel Compounds

APPLICANT(S) FOR DO/US Dennis LEE, Krista B. GOODMAN

Commissioner for Patents

Mail Stop: PCT P.O. Box 1450

Alexandria, VA 22313-1450 ATTENTION: DO/US

## **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Transmittal Letter, Form PTO 1390 and the papers indicated as being transmitted therewith, and Post Card are being deposited with the United States Postal Service on this date <a href="Mailto:August 18, 2006"><u>August 18, 2006</u></a> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <a href="EV655039501US"><u>EV655039501US</u></a> addressed to the:

Commissioner for Patents, Mail Stop: PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

20462

CUSTOMER NUMBER